IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ashkenazi et al.

Serial No.: Not yet assigned

Filed: Herewith

For: Secreted and Transmembrane
Polypeptides and Nucleic Acids
Encoding the Same

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application (which is filed herewith), and for calculation of the proper filing fee, Applicants respectfully request that the following amendments be entered.

Filed: Herewith

In the claims:

Please cancel Claims 1-38 without prejudice or disclaimer.

Please add new Claims 39-58 as follows.

--39. (New) An isolated nucleic acid having at least 80% nucleic acid sequence identity to:

- (a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
- (c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
 - (e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);
- (f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262); or
- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.
- 40. (New) The isolated nucleic acid of Claim 39 having at least 85% nucleic acid sequence identity to:
- (a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;

Filed: Herewith

(c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);

- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
 - (e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);
- (f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262); or
- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.
- 41. (New) The isolated nucleic acid of Claim 39 having at least 90% nucleic acid sequence identity to:
- (a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
- (c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
 - (e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);
- (f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262); or
- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.

Filed: Herewith

42. (New) The isolated nucleic acid of Claim 39 having at least 95% nucleic acid sequence identity to:

- (a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
- (c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
 - (e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);
- (f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262); or
- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.
- 43. (New) The isolated nucleic acid of Claim 39 having at least 99% nucleic acid sequence identity to:
- (a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
- (c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;

Filed: Herewith

(e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);

(f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262); or

- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.
 - 44. (New) An isolated nucleic acid comprising:
- (a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
- (c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);
- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
 - (e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);
- (f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQID NO:262); or
- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.
- 45. (New) The isolated nucleic acid of Claim 44 comprising a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID NO:263).

Filed: Herewith

46. (New) The isolated nucleic acid of Claim 44 comprising a nucleic acid sequence

encoding the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal

peptide.

47. (New) The isolated nucleic acid of Claim 44 comprising a nucleic acid sequence

encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263).

48. (New) The isolated nucleic acid of Claim 44 comprising a nucleic acid sequence

encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking

its associated signal peptide.

49. (New) The isolated nucleic acid of Claim 44 comprising the nucleic acid sequence

shown in Figure 97 (SEQ ID NO:262).

50. (New) The isolated nucleic acid of Claim 44 comprising the full-length coding

sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262).

51. (New) The isolated nucleic acid of Claim 44 comprising the full-length coding

sequence of the cDNA deposited under ATCC accession number 209481.

52. (New) An isolated nucleic acid that hybridizes to:

(a) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID

NO:263);

(b) a nucleic acid sequence encoding the polypeptide shown in Figure 98 (SEQ ID

NO:263), lacking its associated signal peptide;

-6-

Filed: Herewith

(c) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263);

- (d) a nucleic acid sequence encoding the extracellular domain of the polypeptide shown in Figure 98 (SEQ ID NO:263), lacking its associated signal peptide;
 - (e) the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262);
- (f) the full-length coding sequence of the nucleic acid sequence shown in Figure 97 (SEQ ID NO:262); or
- (g) the full-length coding sequence of the cDNA deposited under ATCC accession number 209481.
- 53. (New) The isolated nucleic acid of Claim 52, wherein said hybridization occurs under stringent conditions.
- 54. (New) The isolated nucleic acid of Claim 52 which is at least 10 nucleotides in length.
 - 55. (New) A vector comprising the nucleic acid of Claim 39.
- 56. (New) The vector of Claim 55, wherein said nucleic acid is operably linked to control sequences recognized by a host cell transformed with the vector.
 - 57. (New) A host cell comprising the vector of Claim 55.
- 58. (New) The host cell of Claim 57, wherein said cell is a CHO cell, an *E. coli* or a yeast cell.--

Filed: Herewith

Applicants respectfully request entry of these new claims for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4461 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone: (650) 225-4461

PATENT TRADEMARK OFFICE